

POOLED LIABILITY ASSURANCE NETWORK JOINT POWERS AUTHORITY (PLAN JPA)
CONFLICT OF INTEREST
CODE

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 California Code of Regulations Section 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of **Pooled Liability Assurance Network Joint Powers Authority (PLAN JPA)**.

Officials and employees holding positions listed in this code must file their statements of economic interests electronically with the **Fair Political Practices Commission**. All statements must be made available for public inspection and reproduction under Government Code Section 81008.

**POOLED LIABILITY ASSURANCE NETWORK JOINT POWERS AUTHORITY
APPENDIX TO THE CONFLICT OF INTEREST CODE**

Designated Positions

Disclosure Categories

Executive Director	1, 2, 3
Assistant Executive Director	1, 2, 3
Litigation Manager	1, 2, 3
Workers' Compensation Program Manager	1, 2, 3
Risk Manager	1, 2, 3
Finance Manager	1, 2, 3
Legal Counsel	1, 2, 3
Coverage Counsel	1, 2, 3

Consultants/New Positions*

*Consultants/New Positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Executive Director may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to comply fully with the disclosure requirements described in this section. Such determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code Sec. 81008)

Note: The positions are filled by outside consultants, but act in a staff capacity for PLAN JPA.

The following positions are NOT covered by the conflict of interest code because the positions manage public investments. Individuals holding such positions must file under Government Code Section 87200 and are listed for informational purposes only. Section 87200 requires disclosure of all investments and business positions in business entities, all income, including gifts, loans and travel payments, and real property.

Treasurer
Assistant Treasurer
Consultants that manage public investments
Members of the Board of Directors

Alternates for Members of the Board of Directors
Chief Financial Officer

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Government Code Section 87200.

DISCLOSURE CATEGORIES:

1. Investments and business positions in business entities and income (including receipt of loans, gifts, and travel payments) from sources of the type that contract with PLAN JPA to supply goods, services, materials, or supplies.
2. Investments and business positions in business entities, and income (including receipt of loans, gifts and travel expenses) from sources that are engaged in the performance of work or services of the type utilized by PLAN JPA, including insurance companies, carriers, holding companies, underwriters, brokers, solicitors, agents, adjusters, claims managers, and actuaries.
3. Investments and business positions in business entities, and income (including receipt of loans, gifts, and travel payments) from sources that have filed a claim or have a claim pending that are reviewed and administered by PLAN JPA.

This is the last page of the conflict of interest code for the **Pooled Liability Assurance Network Joint Powers Authority**.



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict of interest code for the **Pooled Liability Assurance Network Joint Powers Authority** was approved on 8/24 2018. This code will become effective on 9/23/ 2018.

A large, stylized handwritten signature in black ink, appearing to read "JMF", written over a horizontal line.

John M. Feser, Jr.

Senior Commission Counsel

Fair Political Practices Commission